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**PATENT** 

Paper No.

File: NewMrkt-P99-4

Date: February 6, 2003

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor

Serial No.

Filed

For

Anthony F. Herbst and Wayne F. PergECE/VED

GROUP 3600

CUSTOMIZABLE INVESTMENT FUND

**Group Art Unit** 

2648

Examiner

BUI, Thach H.

RECEIVED

Honorable Commissioner of Patents and Trademarks

Washington, D.C. 20231

FEB 1 9 2003

Technology Center 2600

### TRANSMITTAL LETTER

SIR:

Please enter the following enclosed documents in the above-identified patent application.

> Response and Request for Reconsideration. 1.

The Commissioner is hereby authorized to charge any fees associated with the above-identified patent application or credit any overcharges to Deposit Account No. 50-0235.

Please direct all correspondence to the undersigned at the address given below.

Respectfully submitted,

Date: February 6, 2003

P.O. Box 7131

Chicago, IL 60680-7131

(312) 240-0824

Peter K. Trzyna

(Reg. No. 32,601)

I hereby certify that this correspondence is being filed by facsimile with a confirmation copy being filed by United States Postal Service as first class mail in an envelope with sufficient postage and addressed to the Commissioner of Patents and Trademarks, Weshington, D. 20231 on the date indicated below.

lo. 32.601)

PATENT

Paper No.

FEB 12 2003 February

Ebruary 6,2003

File No. NewMrktP99-4

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors

Anthony F. Herbst and Wayne F. Perg

Serial No.

09/467,646

Filed

December 20, 1999

For

DIGITAL COMPETER SYSTEM FOR OPERATING

A CUSTOMIZABLE NVESTMENT FUND

**Group Art Unit** 

2648

**RECEIVED** 

Examiner

BUI, Thach H

FEB 1 9 2003

The Commissioner of Patents and Trademarks Washington, D.C. 20231

Technology Center 2600

## RESPONSE AND REQUEST FOR RECONSIDERATION

SIR:

In response to the Office Action mailed 19 December 2002, in the abovereferenced patent application, please reconsider the application in view of remarks set forth below.

#### I. REMARKS

The Examiner is requested to reconsider the application in view of the following remarks.

Respectfully, and generally for the reasons set forth below, the objections and rejections and each ground therefor, are traversed.

### A. Paragraphs of Objections and\or Rejections

1. Paragraph 1 of the Offic Action